



# Compiler Design

## Lexical Analysis

## Finite Automata

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# Outline

- Nondeterministic Finite Automata
- Transition Tables
- Acceptance of Input Strings by Automata
- Deterministic Finite Automata

# Finite Automata

- lexical rules -> finite automata ->lexical analyzer
- Recognizers of each possible input string
  - Answer yes or no
- Two flavors:
  - Nondeterministic Finite Automata (NFA)
    - No restrictions on the labels of their edges
    - A symbol can label several edges out of the same state
    - The empty string  $\epsilon$  is a valid label
  - Deterministic Finite Automata (DFA)
    - for each state and each symbol only one edge is leaving that state
- NFA and DFA recognize the same languages
- Regular Languages
  - regular expressions can describe

# Nondeterministic Finite Automata

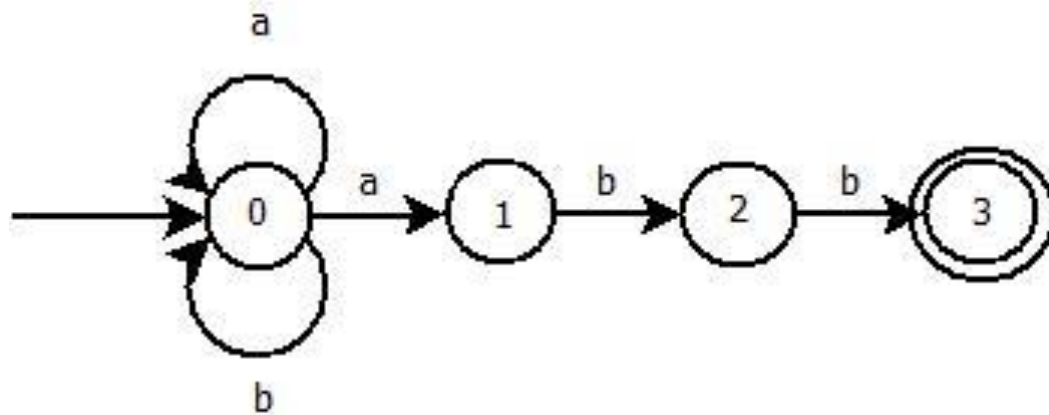
- A finite set of states  $S$
- A set of input symbols  $\Sigma$ 
  - the input alphabet
  - $\varepsilon$  is not in  $\Sigma$
- A transition function
  - for each state and each symbol gives a set of next states
- A state  $s_0$  from  $S$ 
  - start (initial) state
- A set of states  $F$ 
  - subset of  $S$
  - accepting (final) states

# Finite Automata Representation

- Transition graph
  - nodes are states
  - labeled edges  $\rightarrow$  transition function
  - $s \xrightarrow{a} t$
  - graph  $\sim$  transition diagram
    - the same symbol can label edges from one state to several different states
    - an edge can be labeled by  $\varepsilon$  in addition to symbols from the input alphabet

# Example

- $(a|b)^*abb$



- a nondeterministic finite automaton
  - start state 0
  - accepting state 3
  - the only strings getting in the accepting state are ending in “abb”

# Transition Tables

- rows correspond to states
- columns correspond to input symbols and  $\epsilon$
- if the transition function has no information about that state-input pair the value in the table is  $\emptyset$

state	a	b	$\epsilon$
0	{0,1}	{0}	$\emptyset$
1	$\emptyset$	{2}	$\emptyset$
2	$\emptyset$	{3}	$\emptyset$
3	$\emptyset$	$\emptyset$	$\emptyset$

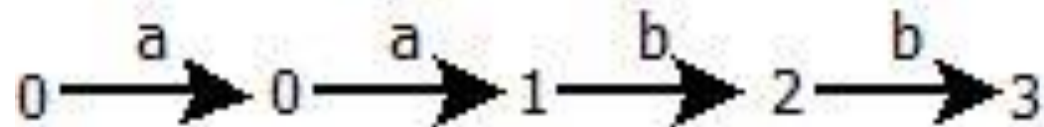
# Acceptance of Input Strings by Automata

- a string  $x$  is accepted by a NFA iff there is one path in the transition graph
  - from the start state
  - to one accepting states
- the  $\epsilon$  labels across the path are ignored
- the language defined / accepted by a NFA
  - set of strings labeling some path from start to accepting state
- notation  $L(A)$  – language accepted by automaton  $A$



# Example 1

- label *aabb* is covered by path from state 0 to 3



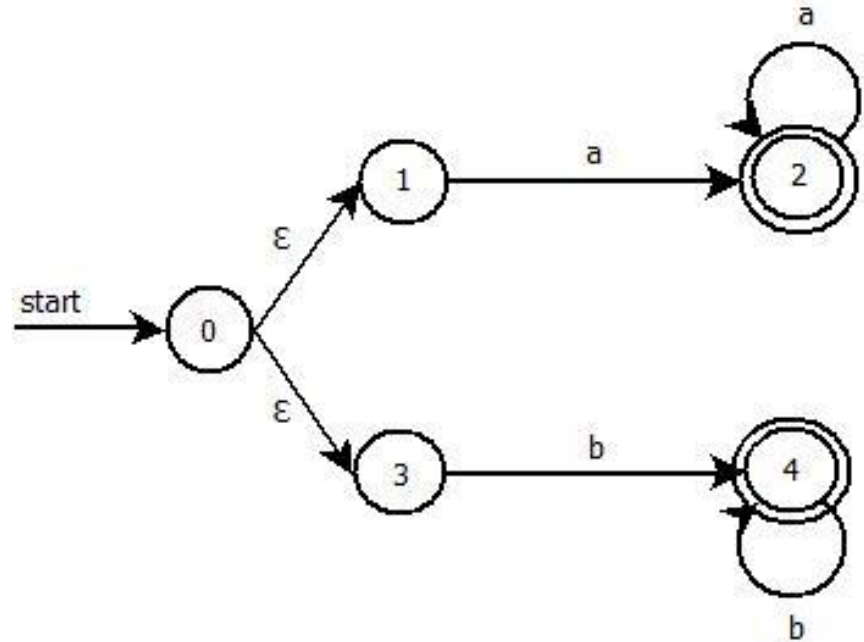
- the same *aabb* label may lead to different states



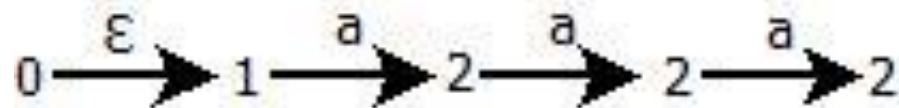
- this path leads to state 0 which is not accepting
- A NFA accepts a string as long as there exists a path from the start state to an accepting state
- a path leading to a non-accepting state is irrelevant

# Example 2

- $L(aa^*|bb^*)$



- string *aaa* is accepted



# Deterministic Finite Automata

- DFA – deterministic finite automaton
  - is a NFA where
    - there are no moves on input
    - for each state  $s$  and input symbol  $a$  there is only one edge out of  $s$  labeled  $a$
  - no more sets in the transition table
- NFA – abstract representation of an algorithm
- DFA – concrete algorithm for string recognition

# Simulating a DFA

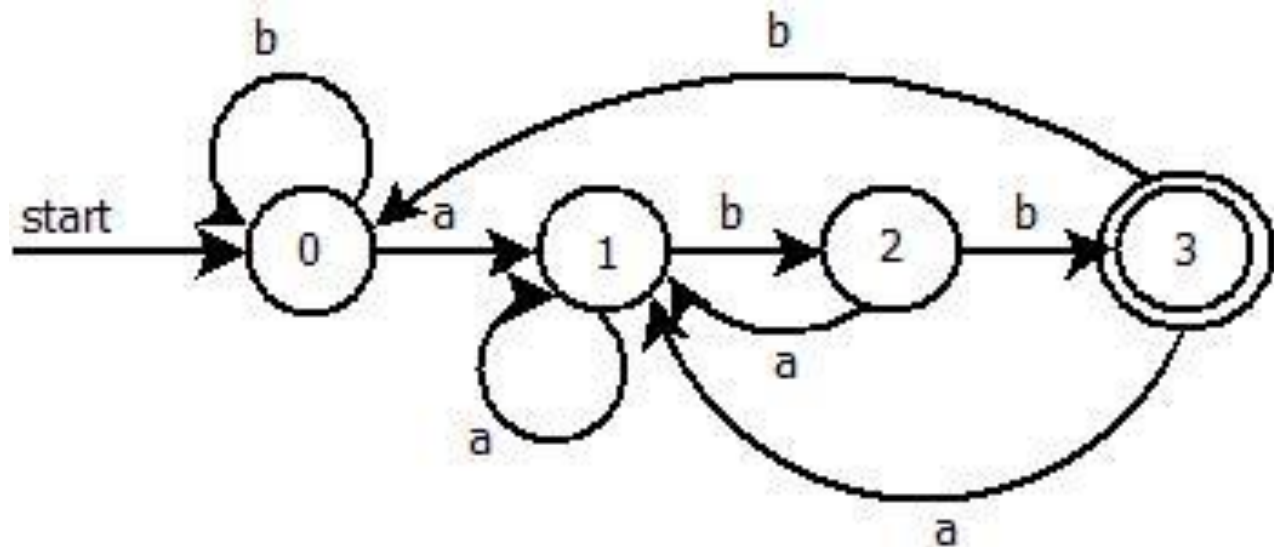
- Input
  - An input string  $x$  terminated by eof character
  - DFA  $D$ 
    - start state  $s_0$
    - accepting states  $F$
    - transition function  $move$
- Output
  - yes - if  $D$  accepts
  - no - otherwise
- Method
  - function  $move(s,c)$  – gives the state to which is an edge from state  $s$  on input  $c$
  - function  $nextChar$  – returns the next character of the input string  $x$

# Algorithm: Simulating an DFA

```
s=s0;  
c=nextChar ();  
while (c!=eof)  
{  
    s=move (s , c) ;  
    c=nextChar ();  
}  
if (s is in F) return "yes";  
else return "no";
```

# Example

- DFA accepting  $(a|b)^*abb$



# Bibliography

- Alfred V. Aho, Monica S. Lam, Ravi Sethi, Jeffrey D. Ullman – Compilers, Principles, Techniques and Tools, Second Edition, 2007